## PHILOSOPHICAL TRANSACTIONS A

#### rsta.royalsocietypublishing.org

# Research



**Cite this article:** MacMartin DG, Ricke KL, Keith DW. 2018 Solar geoengineering as part of an overall strategy for meeting the 1.5°C Paris target. *Phil. Trans. R. Soc. A* **376**: 20160454. http://dx.doi.org/10.1098/rsta.2016.0454

Accepted: 16 October 2017

One contribution of 20 to a theme issue 'The Paris Agreement: understanding the physical and social challenges for a warming world of  $1.5^{\circ}$ C above pre-industrial levels'.

#### Subject Areas:

atmospheric science

**Keywords:** geoengineering, 1.5°C, climate change

Author for correspondence: Douglas G. MacMartin e-mail: dgm224@cornell.edu

Electronic supplementary material is available online at https://doi.org/10.6084/m9. figshare.c.4007641.

#### THE ROYAL SOCIETY PUBLISHING

# Solar geoengineering as part of an overall strategy for meeting the 1.5°C Paris target

Douglas G. MacMartin<sup>1</sup>, Katharine L. Ricke<sup>2</sup> and David W. Keith<sup>3</sup>

<sup>1</sup>Mechanical and Aerospace Engineering, Cornell University, Ithaca, NY 14850, USA

 <sup>2</sup>Scripps Institution of Oceanography and School of Global Policy and Strategy, University of California, San Diego, CA, USA
 <sup>3</sup>John A. Paulson School of Engineering and Applied Sciences and John F. Kennedy School of Government, Harvard University, Cambridge, MA, USA

DGMM, 0000-0003-1987-9417

Solar geoengineering refers to deliberately reducing net radiative forcing by reflecting some sunlight back to space, in order to reduce anthropogenic climate changes; a possible such approach would be adding aerosols to the stratosphere. If future mitigation proves insufficient to limit the rise in global mean temperature to less than 1.5°C above preindustrial, it is plausible that some additional and limited deployment of solar geoengineering could reduce climate damages. That is, these approaches could eventually be considered as part of an overall strategy to manage the risks of climate change, combining emissions reduction, net-negative emissions technologies and solar geoengineering to meet climate goals. We first provide a physicalscience review of current research, research trends and some of the key gaps in knowledge that would need to be addressed to support informed decisions. Next, since few climate model simulations have considered these limited-deployment scenarios, we synthesize prior results to assess the projected response if solar geoengineering were used to limit global mean temperature to 1.5°C above preindustrial in an overshoot scenario that would otherwise peak near 3°C. While there are some important differences, the resulting climate is closer in many respects to a climate where the 1.5°C target is achieved through mitigation alone than either is to the 3°C climate with no geoengineering. This holds for both regional

© 2018 The Author(s) Published by the Royal Society. All rights reserved.

temperature and precipitation changes; indeed, there are no regions where a majority of models project that this moderate level of geoengineering would produce a statistically significant shift in precipitation further away from preindustrial levels.

This article is part of the theme issue 'The Paris Agreement: understanding the physical and social challenges for a warming world of 1.5°C above pre-industrial levels'.

### 1. Introduction

The Paris agreement included the specific goal of 'holding the increase in the global average temperature to well below 2°C above preindustrial levels and to pursue efforts to limit the temperature increase to  $1.5^{\circ}$ C' [1]. However, while there are emission scenario analyses that yield a 50% chance of meeting the  $1.5^{\circ}$ C goal [2,3], these pathways require near-immediate reduction to net-zero emissions. By contrast, current commitments to future mitigation of CO<sub>2</sub> emissions are projected to result in warming closer to  $3^{\circ}$ C [4]. Approaches for 'net-negative emissions' carbon dioxide removal (CDR) [5] are already embedded in future emissions scenarios [6], although potentially at unrealistic scale [7,8]. Nonetheless, these technologies might eventually bring the temperature rise back below  $1.5^{\circ}$ C but only after a possibly lengthy period of overshoot [9].

Given this context, solar geoengineering (or solar radiation management, SRM) could be considered as a possible supplement to other tools for managing long-term climate damages and risks [10–13] as illustrated qualitatively in figure 1. The purpose of solar geoengineering is to reduce climate changes by reflecting some sunlight back to space. The most frequently discussed approach is to inject aerosols or their precursors (e.g. SO<sub>2</sub>) into the stratosphere [14]; the same mechanism by which large volcanic eruptions cool the planet. Another approach is marine cloud brightening (MCB), which aims to increase cloud albedo through injection of sea-salt aerosols [15]; the effectiveness of this is less certain. Other techniques have also been proposed, from spacebased [16], that are likely too expensive, to land- or ocean-albedo modification [17,18], that are either less scalable, have other environmental concerns (such as adding surfactants to the ocean), or are simply less well studied. An additional approach that shares some similar features is to deliberately thin cirrus clouds and hence increase outgoing long-wave radiation [19]; the effectiveness of this approach is even more uncertain [20]. For each of the key approaches, table 1 summarizes the confidence level in being able to achieve useful radiative forcing, and advantages and disadvantages.

While these approaches can reduce the global mean temperature, the resulting climate is not the same as one with the same global mean temperature but achieved through mitigation alone; some of these differences will be explored in §3. However, unlike mitigation, solar geoengineering would affect the climate quickly, and thus could provide a unique additional tool for managing climate change. The amount and duration of a solar geoengineering deployment required to maintain a specific target such as 1.5°C is directly related to the characteristics of temperature overshoot [9]. Reducing the cumulative anthropogenic greenhouse gas (GHG) emissions would reduce the peak amount of such a deployment, while long-term net-negative emissions would limit the duration of overshoot and hence deployment.

Mitigation remains essential. Solar geoengineering would not compensate all climate damages (e.g. ocean acidification), and the risks and side effects of geoengineering will increase with the amount used [22]. Further, while several degrees of cooling are almost certain to be achievable for some approaches (table 1), the maximum cooling potential is unclear. And finally, even moderate deployment imposes a constraint on future generations to either maintain the deployment or accept the consequences of phasing it out. In the absence of strong mitigation the duration of elevated atmospheric  $CO_2$  concentrations could be substantial.

The climate sensitivity to increased atmospheric  $CO_2$  concentrations is uncertain [23], so while the best estimate for the increase in global temperature rises in close proportion to cumulative



**Figure 1.** Reducing greenhouse gas emissions, combined with future large-scale atmospheric CO<sub>2</sub> removal (CDR), may lead to long-term climate stabilization, but with some potentially significant overshoot of desired temperature targets. There is thus a possible role for *limited* and *temporary* solar geoengineering as part of an overall strategy to reduce climate impacts during the overshoot period. (Solar geoengineering as an alternative to mitigation would require extremely large forcing to be sustained for millennia, and is thus not necessarily realistic or advisable.) This graph, adapted from [10], represents climate impacts conceptually, not quantitatively; see figure 3 for a specific representative scenario. (Online version in colour.)

method stratospheric	confidence that substantial global $\triangle$ RF (e.g. $> 3$ W m <sup>-2</sup> ) is achievable <i>very high</i> : current technologies can	advantages similarity to volcanic	disadvantages relative to stratospheric sulfate
sulfates	likely be adapted to loft materials and disperse SO <sub>2</sub> at relevant scales	sulfate gives empirical basis for estimating efficacy and risks	
other stratospheric aerosols	<i>moderate</i> : depends on aerosol, lofting similar to sulfate but aerosol dispersal much more uncertain	some solid aerosols may have less strat. heating and minimal ozone loss	harder to bound uncertainty since not naturally occurring in stratosphere
marine cloud brightening	<i>uncertain</i> : observations support wide range of CCN impact on albedo; substantial process uncertainty	ability to make local alterations of albedo; and modulate on short timescales.	only applicable on marine stratus covering approximately 10% of the Earth means RF inherently patchy
cirrus thinning	<i>uncertain</i> : deep uncertainty about fraction of cirrus strongly dependent on homogeneous nucleation; no studies examining diffusion of CCN	works on longwave radiation so could provide better compensation	maximum potential cooling limited; zonal distribution of RF constrained by distribution of cirrus
space based	low physical uncertainty, but deep technological uncertainties	possibility of near 'perfect' alteration of solar constant	substantially more expensive

**Table 1.** Summary of solar geoengineering options, confidence in ability to produce radiative forcing (RF), key advantages and disadvantages relative to stratospheric sulfate. Any solar geoengineering approach will introduce additional concerns, e.g. [21].

emissions [24], there is substantial uncertainty for any particular emissions pathway. The  $1.5^{\circ}$ C target has been operationally interpreted as an emissions pathway that meets  $1.5^{\circ}$ C with some probability, often 50% or 66% [4] (although a probability is not specified in the Paris agreement). Thus, in addition to providing a possible option for supplementing mitigation trajectories that are insufficient to meet the  $1.5^{\circ}$ C goal, the ability to implement solar geoengineering if needed appears to be the only way to be certain of limiting global average temperature increases to  $1.5^{\circ}$ C even if emissions follow proposed  $1.5^{\circ}$ C-consistent pathways.

The prospect of using solar geoengineering to supplement more conventional climate risk mitigation in reaching a temperature target highlights the fact that global mean temperature is simply a proxy for a broad collection of climate impacts [25]. It is plausible that solar geoengineering could meet a temperature target while failing to reduce many of the specific climate risks that are the implicit goal of any such global temperature target.

Based on current knowledge it seems likely that solar geoengineering could reduce many climate risks for most people [26]. However, current knowledge about the climate response and impacts is insufficient to support an informed decision (e.g. [13,27–29]). Furthermore, even if analysis demonstrated a reduction in aggregate climate damages, there are additional ethical concerns such as distributional issues (e.g. [30,31]; these lead to arguments both for and against deployment) and socio-political risks that include the difficulties of governance [32–35], the potential for conflict and the potential for a geoengineering deployment to impact the commitment to mitigation [36]. There are additional societal concerns surrounding the research itself [37]. We briefly review what is known in the next section, with a particular focus on recent research results, trends and open questions. Additional details can be found in a number of recent reviews of solar geoengineering [30,38–41].

Section 3 then assesses the projected climate response of solar geoengineering in the context of a limited deployment aimed at avoiding an increase in global mean temperature above  $1.5^{\circ}$ C in the presence of mitigation that would otherwise result in a  $2.5-3^{\circ}$ C temperature rise, including both a representative quantification of figure 1 as well as projections of regional temperature and precipitation impacts. Many geoengineering simulations to date have been designed primarily to understand how models respond differently to different types of radiative forcing, rather than to simulate representative future deployment scenarios—which could lead to misinterpreting the results as being representative of any geoengineering deployment strategy; one exception that simulates geoengineering in an overshoot scenario is Tilmes *et al.* [42]. The projection in §3 relies on existing climate model simulations, using a dynamic climate emulator [43] to predict the climate response for different forcing trajectories.

## 2. The state of knowledge

Supporting an informed decision regarding responsible deployment of any form of solar geoengineering would presumably require at least (i) an assessment of the best estimate for the climate response and associated human and ecosystem impacts associated with different deployment choices, as well as (ii) an assessment of the confidence in those estimates. In addition to these physical-science inputs that we primarily focus on here, a responsible decision would also need to understand socio-political ramifications including expected governance.

Numerous climate model simulations of solar geoengineering have been conducted, either with an idealized reduction of the solar constant or using simulations of specific approaches. Some general characteristics of the expected response to any form of solar geoengineering can be assessed from the former; this case also allows for straightforward multi-model comparisons (as in the Geoengineering Model Intercomparison Project; GeoMIP [44,45]) since the identical simulation can be conducted in each model.

A reduction in sunlight would cool the planet everywhere, though not with the same spatial or seasonal pattern as the warming due to increased GHG, both due to the different *mechanism* of radiative forcing and the different *spatial distribution* of radiative forcing. For example, a robust result from climate model simulations of either insolation reduction [45,46] or tropical aerosol

injection is an overcooling of the tropics and undercooling of the poles (i.e. less polar amplification than occurs for GHG-warming), due to the spatial pattern of insolation. This has consequences beyond simply spatial differences in the fraction of CO<sub>2</sub>-warming offset by a given level of solar reduction; for example, the change in equator-to-pole temperature gradients in these simulations yields a shift in the mid-latitude storm track [47].

A second broad conclusion regards precipitation changes. The warming due to increased greenhouse gases has two counteracting influences on precipitation. A warmer world holds more moisture, increasing the strength of the hydrological cycle. At the same time, increased atmospheric GHG concentrations warm the entire troposphere, increasing stability and reducing convection; this 'fast' response [48] is thus of opposite sign to the 'slow' response due to warming. Transpiration also decreases with increases in CO<sub>2</sub>, further reducing precipitation [49]. Cooling the planet through a solar reduction counteracts the expected increase in precipitation from the temperature-dependent 'slow' response, but because of the different mechanism of radiative forcing, does not compensate for the 'fast' responses. As a result, a robust expectation from any solar geoengineering approach is that it results in less global mean precipitation alone [50,51]. One consequence of this is that using solar geoengineering to return temperatures back to preindustrial levels will overcompensate precipitation, and will almost certainly not represent an optimum balancing of risks [22,26].

Based on the above observations, care should be taken in interpreting projected climate responses from solar reduction simulations, for three reasons. First, any specific approach such as stratospheric aerosol injection (SAI) will impact the climate differently from a solar reduction. (Although comparisons [47,52–54] can be difficult to interpret due to uncertainty as to which differences result from the different mechanism of radiative forcing, and which are due to the different spatial distribution.) Second, many simulations have compensated all of the global mean temperature rise due to increased atmospheric  $CO_2$  (e.g. GeoMIP scenarios G1 and G2 [44]), resulting in overcooling in some regions and overcompensation of precipitation changes. These simulation results can still be useful provided they are scaled to more representative scenarios, as in §3.

The third and more subtle reason for care in evaluating current simulations is that the impacts will depend on design choices such as the latitude at which to inject aerosols into the stratosphere: simulations with a uniform solar reduction or with equatorial aerosol injection may result in climate outcomes that would be avoidable through different choices. The dominant residual temperature pattern of overcooling the tropics and undercooling the poles is due to the different zonal distribution of radiative forcing. However, since the stratospheric Brewer–Dobson circulation is broadly poleward, aerosol injection away from the tropics can be used to increase aerosol concentrations at higher latitudes [55,56], reducing or eliminating differences in the equator to pole temperature gradients [57]. Similarly, altering the injection amount separately in each hemisphere [57] can minimize shifts in the intertropical convergence zone and associated tropical precipitation impacts [58]. That is, a fundamental feature of solar geoengineering is that it is a *design* problem [59–62]. The extent to which it can be designed to better manage climate outcomes is as yet unknown, and thus how well solar geoengineering could compensate for tropospheric climate effects of increased atmospheric GHGs is still uncertain.

Specific technical approaches for solar geoengineering will have different impacts.

Stratospheric aerosols both scatter and absorb, heating the stratosphere and affecting stratospheric dynamics and water vapour concentrations [47,63–65], which in turn influence surface climate [47]. Aerosols also affect stratospheric ozone chemistry [66–69], though as stratospheric chlorine concentrations recover the aerosol impact on ozone concentrations will decrease [70]. These effects depend on the latitude, altitude and season where aerosols are injected [65]. While sulfate is often assumed, different aerosols could be chosen that have less stratospheric heating and associated impact on dynamics [47,71] or that might reverse the sign of the effect on ozone [72]. Furthermore, many of the earlier SAI simulations do not include some

potentially relevant physical processes (e.g. table 2 in [67]). Climate models are now capable of simultaneously capturing aerosol microphysics, interactions with stratospheric chemistry, and coupling with stratospheric dynamics in a fully coupled model [73,74].

MCB [15] involves injecting sea-salt aerosols into marine boundary layer clouds in order to increase cloud albedo through the indirect aerosol effect. This would affect the climate differently from SAI [52]. Cloud–aerosol interactions, however, are one of the largest areas of uncertainty in climate change, and it is unclear over what fraction of the ocean MCB might be effective. While the radiative forcing from stratospheric aerosols is potentially relatively uniform in space and time, MCB would create spatially heterogeneous forcing and potentially more spatially heterogeneous climate effects. This could have both advantages and disadvantages in the ability to compensate for the regional pattern of climate changes from CO<sub>2</sub>; indeed a combination of SAI and MCB might lead to improved outcomes.

Uncertainty is clearly a significant concern. Conceptually this can be separated into uncertainty in the processes that result in a (negative) radiative forcing, and uncertainty in how the climate then responds to that forcing. While not strictly separable, this conceptualization is valuable for making judgements about the extent to which uncertainty might be resolvable.

Uncertainty in individual processes, such as aerosol microphysical growth assumptions or chemical reaction rates in the case of stratospheric aerosols, can in principle be reduced through small-scale process-level field experiments [75,76] and/or better observations after future large volcanic eruptions [77]; cloud–aerosol interactions underpinning MCB could also be tested at relatively small scale [78]. Indeed, conducting these process experiments would have cobenefits for climate science [79], complementing observations (e.g. [80]). Furthermore, if, for example, stratospheric aerosol geoengineering were deployed, the aerosol properties—their size and spatial distribution—could be measured, and the injection rate adjusted in response to any differences between predictions and observations; this might allow the desired radiative forcing to be produced despite some amount of process uncertainty.

However, an experiment to measure the regional climate response to geoengineering would require substantial forcing and/or considerable time [81]. As a result, there will always be some uncertainty in the regional climate projections prior to deployment, just as there remains uncertainty today in the response to increased GHG concentrations [23]. Indeed, the primary source of uncertainty is the same—uncertainty in the strength of regional feedbacks that largely determine the regional climate responses. At least for a solar reduction, the spread across model predictions is reduced with moderate geoengineering rather than increased [82]. Maintaining global mean temperature at 1.5°C using solar geoengineering could arguably reduce some uncertainties regarding future climate changes relative to the same emissions trajectory without solar geoengineering. A feedback process, similar to that described above for modifying the strategy in response to observed aerosol properties, might also be used to maintain global mean temperature [83] or several degrees of freedom of the spatial pattern of temperature [57,61].

Further research is required to address a number of issues raised above. This includes, for example, (i) taking a design perspective to ask what climate outcomes are or are not achievable through different choices, (ii) translating climate response into impacts assessment—how might geoengineering influence specific risks, and (iii) reducing uncertainties through observations and field experiments, and understanding how one might manage irreducible uncertainties.

There is also significant research in geoengineering beyond the physical climate science summarized above. This includes evaluations of the ethics of climate intervention, social science to better understand how different publics might respond to the idea [84], and research aimed at building necessary governance. Just as the climate science implications of geoengineering are at least somewhat contingent on assumptions about how geoengineering is used—whether it is a limited supplement to aggressive mitigation policy or portrayed as a substitute—the ethics, social science and governance conclusions will also depend on the framing. Substantially, more research will also be required in all of these areas over the coming decades.



**Figure 2.** Representative scenarios used in figures 3–6. (*a*) CO<sub>2</sub>-equivalent (CO<sub>2e</sub>) for representative concentration pathway RCP8.5 (representing a business-as-usual (BAU) scenario), RCP4.5 (to represent mitigation) and RCP4.5 augmented with significant levels of long-term CO<sub>2</sub> removal (+CDR) sufficient to reduce concentrations by 1 ppm yr<sup>-1</sup>. The solar reduction (+SRM) used in figure 3 is shown in *b*. For simplicity, the carbon-cycle feedbacks between the reduced temperatures associated with using solar geoengineering and resulting CO<sub>2</sub> concentrations is ignored. (Online version in colour.)

## 3. Projected climate response for 1.5°C

#### (a) A portfolio of options

To illustrate how solar geoengineering could be used as part of an overall strategic approach to manage climate risk, we start by defining a set of hypothetical scenarios: (i) following the RCP8.5 representative concentration pathway [85] to illustrate the no-mitigation, business-as-usual, baseline, (ii) following the RCP4.5 pathway to illustrate responses to a robust mitigation effort, (iii) the RCP4.5 pathway augmented with sufficient CDR to reduce atmospheric concentrations at 1 ppm yr<sup>-1</sup>, leading to a peak warming of approximately  $2.7^{\circ}$ C in our projection (figure 3) and (iv) this augmented-RCP4.5 pathway, but with sufficient solar geoengineering to maintain global mean temperature rise to no more than  $1.5^{\circ}$ C; for simplicity, we ignore potentially non-negligible carbon-cycle feedbacks that would reduce the CO<sub>2</sub> concentrations if temperatures were reduced through solar geoengineering [86]. The concentrations (expressed in CO<sub>2e</sub>) and required solar reduction are shown in figure 2.

While the RCP4.5 pathway considered here already includes some CDR [87], its emissions fall well within the range of emissions in scenarios of the Intergovernmental Panel on Climate Change (IPCC) Working Group 3 database [88] after negative emissions are excluded (electronic supplementary material, Figure S5). The augmented CDR pathway assumed here is deliberately arbitrary for illustration purposes only. We use a sigmoidal ramp-up (as in [9]) from 2050 to 2100 to a sustained rate defined through its impact on concentration (electronic supplementary material, Figure S4). A reduction of 1 ppm yr<sup>-1</sup> would require reducing atmospheric CO<sub>2</sub> by 7.8 Gt yr<sup>-1</sup>. Approximately, half of anthropogenic CO<sub>2</sub> emissions are currently absorbed by the oceans and biosphere, and the same processes would operate in reverse [89], so that this level of reduction might require of order 15 Gt CDR and storage per year with more precise quantification dependent on uncertainties in the carbon cycle model. This rate is near the high end considered in the working group 3 database [9,90], and higher than some estimates that evaluate terrestrial biomass potential [91–93]. Higher or lower removal rates will result in shorter or longer overshoot of 1.5°C, respectively.

We estimate the global mean response to these scenarios first, and the regional response in §3b; these rely on climate emulators tuned to match the response of 12 climate models participating in GeoMIP, see appendix A and the electronic supplementary material.

While solar geoengineering could maintain temperature at 1.5°C, not all climate variables will respond the same way. Some variables will be more strongly affected (global mean precipitation will be restored even closer to preindustrial than global mean temperature), while others would be under-compensated or exacerbated (e.g. ocean acidity will not be strongly affected by geoengineering; stratospheric ozone loss would be made worse if stratospheric sulfate aerosols are used for cooling). This principle is illustrated in figure 3(a-c), which shows global mean temperature, global mean precipitation and tropical aragonite saturation state under our four pathways. With each additional climate risk mitigation tool, global temperatures are decreased and, finally, solar geoengineering is used to stabilize global temperature. Global precipitation is proportionally restored with mitigation and CDR, but the addition of solar geoengineering reduces precipitation faster than it cools. On the other hand, because surface ocean carbonate chemistry is more sensitive to atmospheric concentrations of CO<sub>2</sub> than to changes in surface air temperature, solar geoengineering has little effect on ocean acidification. Tropical aragonite saturation state ( $\Omega_A$ ), an important proxy for viability of calcifying organisms, is illustrated for the four pathways in figure 3(c). Solar geoengineering has a small exacerbating effect on  $\Omega_A$  here due to cooler temperatures and our assumed identical atmospheric CO<sub>2</sub> concentrations; the sign of the effect might change with a carbon-cycle model that accounts for the impact of temperature on CO<sub>2</sub>. The way that temperature, precipitation and other effects interact to cause impacts is not necessarily obvious. In the case of coral bleaching, cooler sea surface temperatures have a much greater effect reducing bleaching than reduced  $\Omega_A$  increases it [94]; in the case of agricultural impacts, for example while solar geoengineering tends to decrease precipitation, in combination with cooler temperatures and high atmospheric CO<sub>2</sub>, simulations have shown a net positive effect on crop yields [95].

The climate changes induced by increased GHG concentrations are complex, but because the impacts typically increase with the global mean temperature, a single number such as  $1.5^{\circ}$ C or  $2^{\circ}$ C can be a proxy for a wide collection of climate impacts, with the climate impacts of 1.5 being less than those corresponding to  $2^{\circ}$ C (although there may be other trade-offs in choosing a target). Since geoengineering would not affect the climate the same way, a lower global mean temperature anomaly achieved using geoengineering does not necessarily lead to lower aggregate climate risks. Choosing an appropriate level that balances different risks to the climate system will not be straightforward.

The peak level of solar geoengineering in figure 2 is approximately  $1.7 \text{ W m}^{-2}$ . For context, with SAI this would require of order  $3 \text{ Tg S yr}^{-1}$  if injected as  $H_2\text{SO}_4$  [96] and 5 Tg S or more if SO<sub>2</sub> were injected instead (the most commonly simulated approach); the latter is consistent for example with the  $10 \text{ Tg yr}^{-1}$  of SO<sub>2</sub> found by Kravitz *et al.* [57] per degree of cooling. Efficacy uncertainty for MCB is currently too high to compute similar estimates.



**Figure 3.** Not all climate variables respond to solar geoengineering the same way. The global-mean temperature (*a*), global mean precipitation (*b*) and tropical aragonite saturation state (*c*) are shown for the cases in figure 2: RCP8.5 (BAU), RCP4.5 (+mitigation), RCP4.5 augmented with long-term CO<sub>2</sub> removal (+CDR) and RCP4.5, CDR, and sufficient solar geoengineering to maintain temperature at  $1.5^{\circ}$ C (+SRM). SRM acts quickly while CDR acts slowly: in this scenario, the compensation of climate change due to CO<sub>2</sub> emissions is primarily due to SRM in 2100; by 2200 both SRM and CDR contribute. Temperature and precipitation responses are estimated from median of 12 models participating in GeoMIP and aragonite saturation state responses are estimated from Kwiatkowski *et al.* [94] (see appendix A; the electronic supplementary material). (Online version in colour.)



Figure 4. Uncertainty in climate sensitivity results in a range of temperature outcomes for a given CO<sub>2</sub>-concentration pathway; the multi-model median response and range across the 12 models considered here is shown for the case with long-term  $CO_2$  removal both with and without solar geoengineering. (a) Solar geoengineering could be used to achieve a 1.5°C target independent of climate sensitivity uncertainty. (b) The range of forcing from solar geoengineering across the 12 models and from  $CO_{2e}$ ; analysis uses % solar reduction and concentration, respectively, but these are plotted as approximate radiative forcing to enable comparison between them. CO<sub>2</sub> forcing is estimated as 5.35 times the log of the concentration change. Because of the short timescales associated with solar geoengineering, uncertainty in climate response can be compensated for with control over solar geoengineering forcing. (Online version in colour.)

Figure 3 illustrates the median projected response across 12 models. However, an important feature of climate change projections is the uncertainty in climate sensitivity. Figure 4 shows the range of temperature response and forcings across the 12 models considered both with and without geoengineering, assuming that the amount of geoengineering is adjusted to maintain the 1.5°C target in each model. Because of the short timescales between implementation and effect, solar geoengineering would also increase the certainty of being able to achieve a target.

We next turn to an assessment of the regional response to geoengineering used to maintain a 1.5°C target, using the same hypothetical mitigation, CDR and solar geoengineering scenarios.

#### (b) Regional climate response

As in §3a, rather than conducting new simulations of geoengineering specific to a  $1.5^{\circ}$ C global mean temperature target, we rely on a climate emulator to project the response based on existing climate simulations, using the same 12 GeoMIP climate models' response to a solar reduction. The regional projection is based on the emulator developed and verified in [43] (see appendix A); this relies on an assumption of linearity, which has been validated to be a good approximation for many, but not all variables. Because of the observation made earlier regarding both the mechanism and spatial pattern of radiative forcing, the regional response predictions should be interpreted cautiously; we chose this set of models because it provides an opportunity to assess inter-model robustness. The only geoengineering simulation we are aware of that uses a similar scenario is [42] in which stratospheric sulfur injections are used to maintain global mean temperature near 2°C in an overshoot scenario that would otherwise peak near 3°C; their results are broadly consistent with results here in that their geoengineered climate is more similar to a 2°C climate achieved through mitigation alone than either are to the 3°C climate.

Figure 5 compares three different cases: an end-of-century (2091-2110) warming of approximately 2.7°C achieved through mitigation alone (the RCP4.5+CDR case in figures 2 and 3), the same time period but with solar geoengineering to maintain a  $1.5^{\circ}C$  target, and for comparison the average over 2019-2038 chosen so that the global mean temperature rise is 1.5°C but due only to increased GHG concentrations. The area-averaged root mean squared (RMS) temperature difference between the two 1.5°C climates is only 0.1°C, while the RMS difference between either of these and the 2.7°C climate is 1.2°C. The RMS precipitation difference between the two 1.5°C climates is 2.3%, while either 1.5°C case has approximately 8% RMS precipitation difference compared with the 2.7°C un-geoengineered climate. Electronic supplementary material, Figures S6-S8 also show the extent (or lack) of model agreement, comparing the 1.5°C-geoengineered case to either the 2.7°C no-geoengineering end-of-century case (all models show cooling everywhere), to the preindustrial (all models show warming everywhere) and to the 1.5°C case due to increased GHG alone. For this last case, the dominant difference in the geoengineered temperature pattern is the relatively cooler tropics and warmer high latitudes compared with the GHG-alone case that is an artefact of the choice of simulations used here. There is generally less model agreement for precipitation responses than for temperature (although this is also true for the CO<sub>2</sub> warming alone).

While the limited deployment of geoengineering considered here results in every location being closer to preindustrial temperature with than without geoengineering, of particular concern is whether there may be places where increased GHG leads to a reduction in precipitation that is further exacerbated by geoengineering, or where GHG leads to increased precipitation that is then increased further by geoengineering. There are places in each climate model where this is true, but these regions are not robust across climate models, as shown in figure 6, consistent with [97]. If there were less aggressive mitigation, and greater use of solar geoengineering, then there would be additional places at which the changes were statistically significant. Precipitation alone is typically not the relevant driver of climate impacts; even if precipitation were further from preindustrial in some location, reduced temperatures may still lead to a net reduction in local climate impacts. These results are from climate models, and from simulations that do not include the full physics of a specific geoengineering approach (such as SAI or MCB). One should not overinterpret these results; the *only* conclusion to draw without further research is that it is plausible based on current model simulations that a *limited* deployment in addition to mitigation could lead to a climate much more similar to a 1.5°C-climate achieved through mitigation than either is to a 3°C world.

#### 4. Summary

Solar geoengineering could be used as one element of an overall strategy to manage climate change damages and risks. Mitigation alone is unlikely to succeed in meeting a target of 1.5°C



**Figure 5.** Projected temperature and precipitation changes relative to preindustrial for the scenarios in figure 2; end-of-century response without (*a*) and with (*b*) geoengineering, and for comparison the warming from 2019–2038 (*c*) where the global mean temperature change is  $1.5^{\circ}$ C without geoengineering. Each panel also lists the global-mean change in temperature or the % change in precipitation. Median results are shown over 12 climate models participating in GeoMIP, estimated using a dynamic climate emulator (see appendix A).

global mean temperature rise above preindustrial, with current commitments projected to lead to approximately 3°C warming. Atmospheric CDR is already built into future emissions scenarios, and over a sufficiently long term (centuries) could in principle reduce CO<sub>2</sub> concentrations and resultant temperatures back below the 1.5°C target. In the interim, there is a potential role for solar geoengineering to limit temperature rise and associated climate changes.

Solar geoengineering with stratospheric aerosols is both certain to be able to provide at least some cooling (by analogy with large volcanic eruptions), and would be relatively straightforward technologically to implement [98]. Other approaches such as MCB or cirrus cloud thinning require more research to assess effectiveness. Simulations with climate models suggest that, while a 1.5°C-climate achieved through a combination of mitigation, CDR and solar geoengineering is not the same as a 1.5°C-climate achieved through more aggressive mitigation+CDR alone, these two are much more similar to each other than either would be to a 3°C-climate achieved through mitigation+CDR without any additional solar geoengineering. Maintaining a global mean temperature rise of 1.5°C in the presence of less aggressive mitigation would require higher



**Figure 6.** Number of models considered here (out of 12) where projected end-of-century precipitation is both further from preindustrial with geoengineering than it is without, and where the change is statistically significant over a 20-year period (consistent with the averaging time in figure 5). For temperature, every model is closer to preindustrial everywhere.

levels of solar geoengineering, and would result in larger differences relative to a climate where 1.5°C was achieved without geoengineering.

From a pure climate-impacts perspective, ignoring economic and other sociopolitical factors, it is clear that achieving a  $1.5^{\circ}$ C target through emissions reduction alone would introduce fewer risks. (Though meeting this target with a combination of emissions reduction and atmospheric CDRCO<sub>2</sub> removal would also incur impacts that also need serious evaluation.) While solar geoengineering might become the only available means of meeting the  $1.5^{\circ}$ C target, it is not yet clear whether the benefits would exceed the harms and risks from its deployment, nor, were it to be deployed, whether  $1.5^{\circ}$ C would be an appropriate goal for the deployment. Uncertainties are currently too large to support informed decisions, and more research is needed in areas such as climate impacts assessment and assessing and reducing uncertainty. There are also important factors beyond simply accounting for aggregate climate damages, including philosophical and ethical concerns, the need for centuries-long governance and concern over the impact on mitigation trajectories. However, if the  $1.5^{\circ}$ C target is exceeded it is plausible based on current climate model results that some limited amount of solar geoengineering could reduce climate damages and risks for most people.

Data accessibility. This article has no additional data.

Competing interests. We declare we have no competing interests.

Funding. This work was supported, in part, by Cornell University's David R. Atkinson Center for a Sustainable Future.

Acknowledgements. We acknowledge the World Climate Research Programme's Working Group on Coupled Modelling, which is responsible for CMIP, and we thank the climate modeling groups for producing and making available their model output. For CMIP, the US Department of Energy's Program for Climate Model Diagnosis and Intercomparison provides coordinating support and led development of software infrastructure in partnership with the Global Organization for Earth System Science Portals. We thank all participants of the Geoengineering Model Intercomparison Project and their model development teams, CLIVAR/WCRP Working Group on Coupled Modeling for endorsing GeoMIP, and the scientists managing the Earth System Grid data nodes who have assisted with making GeoMIP output available. We thank Lester Kwiatkowski for providing simulation data used to produce figure 3*c*. Paul Salazar at Cornell assisted with electronic supplementary material, figures S1 and S2. Conversations with Jane Long were invaluable in shaping ideas in this paper.

## **Appendix A**

The 12 GeoMIP models used here are listed in the electronic supplementary material, Table S1. The global mean temperature response of climate models to radiative forcing is well captured by

The global mean precipitation response to  $CO_2$  can be decomposed into a 'slow' response proportional to temperature and a 'fast' response proportional to the instantaneous  $CO_2$  concentrations. As in [102], we fit the precipitation response of the GeoMIP models to

$$P = \alpha T + \beta f_{\rm CO_2} + \gamma S,$$

where *T* is the global mean temperature,  $f_{CO_2}$  the radiative forcing from  $CO_2$ , S > 0 the solar reduction and the coefficients  $\alpha > 0$ ,  $\beta < 0$  and  $\gamma > 0$  are found from least squares, shown in electronic supplementary material, Figure S2 for each model. Electronic supplementary material, Figure S3 verifies that this functional form reasonably predicts precipitation changes in the GeoMIP models.

Aragonite saturation of the surface ocean is determined primarily by atmospheric CO<sub>2</sub> concentrations, but is also sensitive to temperature [94]; the tropical aragonite saturation state is approximated as

$$\Omega_{\mathrm{A}} = a + b\mathrm{CO}_2 + c(\mathrm{CO}_2)^2 + \mathrm{d}T.$$

The temperature sensitivity is difficult to capture in simulations without solar geoengineering because of the high correlation between CO<sub>2</sub> and temperature. Simulations of RCP4.5 both with and without solar geoengineering [94] in a model with dynamic ocean biogeochemistry (HadGEM-ES) were used to derive the temperature coefficient after controlling for atmospheric CO<sub>2</sub>. That relationship was then applied to the ensemble median response of  $\Omega_A$  to changes in atmospheric CO<sub>2</sub> using the full ensemble of CMIP5 simulations that included dynamic ocean biogeochemistry (see [103] for the list of simulations included).

To estimate the regional response for each model, the emulator in [43] first computes empirical orthogonal functions (EOFs) from the abrupt  $4 \times CO_2$  and GeoMIP G1 simulations. (G1 balances the  $4 \times CO_2$  temperature using solar reduction.) The first EOF captures the spatial pattern of the long-term response to increased CO<sub>2</sub>, while the next few EOFs describe both differences between the short- and long-term response to forcing and differences between the response to solar reduction and CO<sub>2</sub> forcing. Only the first three to four EOFs typically have any predictive power; higher EOFs simply describe natural variability in the simulations used to train the emulator.

As with the global-mean temperature, the response to any forcing scenario can be computed from the IRF, assuming linearity. An assumption-free IRF can be estimated for the projection onto each EOF as the time-derivative of the corresponding  $4 \times CO_2$  response [43]. There is some uncertainty in the IRF due to natural variability in the  $4 \times CO_2$  simulation, but the resulting errors are small when projecting the response to smaller  $CO_2$  levels. We fit the IRF for the projection onto the first EOF to semi-infinite diffusion as above, including a fit to the fast response for precipitation. This is necessary as the assumption-free IRF estimates are limited to the duration of the training simulations. The IRFs for the projections onto the second and higher EOFs decay to zero before the end of the simulations and can be set to zero thereafter. The projected forced response is the sum of the responses for each EOF.

#### References

- UNFCCC. 2015 Adoption of the Paris Agreement. See https://unfccc.int/resource/ docs/2015/cop21/eng/109.pdf.
- Rogelj J, Luderer G, Pietzcker RC, Kriegler E, Schaeffer M, Krey V, Riahi K. 2015 Energy system transformations for limiting end-of-century warming to below 1.5°C. *Nat. Clim. Change* 5, 519–527. (doi:10.1038/nclimate2572)
- 3. Sanderson B, O'Neill B, Tebaldi C. 2016 What would it take to achieve the Paris temperature targets? *Geophys. Res. Lett.* **43**, 7133–7142. (doi:10.1002/2016GL069563)

- 4. Rogelj J *et al.* 2016 Paris Agreement climate proposals need a boost to keep warming well below 2°C. *Nature* **534**, 631–639. (doi:10.1038/nature18307)
- 5. National Academy of Sciences. 2015 *Climate intervention: carbon dioxide removal and reliable sequestration.* Washington DC: The National Academies Press.
- 6. Fuss S *et al.* 2014 Betting on negative emissions. *Nat. Clim. Change* **4**, 850–853. (doi:10.1038/ nclimate2392)
- 7. Rockström J *et al.* 2016 The world's biggest gamble. *Earth's Future* **4**, 465–470. (doi:10.1002/2016EF000392)
- 8. Field CB, Mach KJ. 2017 Rightsizing carbon dioxide removal. *Science* **356**, 706–707. (doi:10.1126/science.aam9726)
- 9. Ricke KL, Millar RJ, MacMartin DG. 2017 Constraints on global temperature target overshoot. *Sci. Rep.* **7**, 14743. (doi:10.1038/s41598-017-14503-9)
- 10. Long JCS, Shepherd JG. 2014 The strategic value of geoengineering research. *Glob. Environ. Change* **1**, 757–770. (doi:10.1007/978-94-007-5784-4\_24)
- 11. Wigley TML. 2006 A combined mitigation/geoengineering approach to climate stabilization. *Science* **314**, 452–454. (doi:10.1126/science.1131728)
- 12. Smith SJ, Rasch PJ. 2013 The long-term policy context for solar radiation management. *Clim. Change* **121**, 487–497. (doi:10.1007/s10584-012-0577-3)
- 13. Long JCS. 2017 Coordinated action against climate change: a new world symphony. *Issues. Sci. Technol.* **33**, Spring.
- 14. Crutzen PJ. 2006 Albedo enhancement by stratospheric sulfur injections: a contribution to resolve a policy dilemma? *Clim. Change* **77**, 211–219. (doi:10.1007/s10584-006-9101-y)
- 15. Latham J et al. 2012 Marine cloud brightening. Phil. Trans. R. Soc. A 370, 4217–4262. (doi:10.1098/rsta.2012.0086)
- Angel R. 2006 Feasibility of cooling the Earth with a cloud of small spacecraft near the inner Lagrange point (L1). *Proc. Natl Acad. Sci. USA* 103, 17184–17189. (doi:10.1073/ pnas.0608163103)
- Irvine PJ, Ridgwell A, Lunt DJ. 2011 Climatic effects of surface albedo geoengineering. J. Geophys. Res.: Atmos. 116, D24112. (doi:10.1029/2011JD016281)
- Crook JA, Jackson LS, Forster PM. 2016 Can increasing albedo of existing ship wakes reduce climate change? J. Geophys. Res. Atmos. 121, 1549–1558. (doi:10.1002/2015JD024201)
- Mitchell DL, Finnegan W. 2009 Modification of cirrus clouds to reduce global warming. *Environ. Res. Lett.* 4, 045102. (doi:10.1088/1748-9326/4/4/045102)
- Penner JE, Zhou C, Liu X. 2015 Can cirrus cloud seeding be used for geoengineering? Geophys. Res. Lett. 42, 8775–8782. (doi:10.1002/2015GL065992)
- 21. Robock A. 2008 20 reasons why geoengineering may be a bad idea. *Bull. Atom. Sci.* 64, 14–18. (doi:10.1080/00963402.2008.11461140)
- Keith DW, MacMartin DG. 2015 A temporary, moderate and responsive scenario for solar geoengineering. *Nat. Clim. Change* 5, 201–206. (doi:10.1038/nclimate2493)
- 23. Collins M et al. 2013 Long-term climate change: projections, commitments and irreversibility. In Climate change 2013: the physical science basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change (eds TF Stocker, D Qin, GK Plattner, M Tignor, S Allen, J Boschung, A Nauels, Y Xia, V Bex, P Midgley), pp. 1029–1136. Cambridge, UK: Cambridge University Press.
- Matthews HD, Landry JS, Partanen AI, Allen M, Eby M, Forster PM, Friedingstein P, Zickfeld K. 2017 Estimating carbon budgets for ambitious climate targets. *Curr. Clim. Change Rep.* 3, 69–77. (doi:10.1007/s40641-017-0055-0)
- 25. Knutti R, Rogelj J, Sedláček J, Fischer EM. 2016 A scientific critique of the two-degree climate change target. *Nature Geosci.* 9, 13–18. (doi:10.1038/ngeo2595)
- 26. Keith DW, Irvine PJ. 2016 Solar geoengineering could substantially reduce climate risks— A research hypothesis for the next decade. *Earth's Future* **4**, 549–559. (doi:10.1002/2016EF000465)
- 27. McCormack CG *et al.* 2016 Key impacts of climate engineering on biodiversity and ecosystems, with priorities for future research. *J. Integr. Environ. Sci.* **13**, 103–128. (doi:10.1080/1943815X.2016.1159578)
- 28. MacMartin DG, Kravitz B, Long JCS, Rasch PJ. 2016 Geoengineering with stratospheric aerosols: what don't we know after a decade of research? *Earth's Future* **4**, 543–548. (doi:10.1002/2016EF000418)

- 29. Keith DW. 2017 Toward a responsible solar geoengineering research program. *Issues Sci. Technol.* **33**, Spring.
- 30. Schäfer S *et al.* 2015 The European Transdisciplinary Assessment of Climtae Engineering (EuTRACE): removing greenhouse gases from the atmosphere and reflecting sunlight away from Earth. EuTRACE Report.
- Horton J, Keith D. 2016 Solar geoengineering and obligations to the global poor. In *Climate justice and geoengineering: ethics and policy in the atmospheric anthropocene* (ed. CJ Preston), pp. 79–92. London, UK: Rowman & Littlefield.
- 32. Parson EA, Ernst LN. 2013 International governance of climate engineering. *Theor. Inquiries Law* 14, 307–337.
- 33. Bodansky D. 2013 The who, what, and wherefore of geoengineering governance. *Clim. Change* **121**, 539–551. (doi:10.1007/s10584-013-0759-7)
- 34. Barrett S. 2014 Solar geoengineering's brave new world: thoughts on the governance of an unprecedented technology. *Rev. Environ. Econ. Policy* **8**, 249–269. (doi:10.1093/reep/reu011)
- 35. Horton JB, Reynolds JL. 2016 The international politics of climate engineering: a review and prospectus for international relations. *Int. Stud. Rev.* **18**, 438–461. (doi:10.1093/isr/viv013)
- 36. Morton O 2015 *The planet remade: how geoengineering could change the world.* Princeton, NJ: Princeton University Press.
- 37. Frumhoff PC, Stephens JC. 2018 Towards legitimacy of the solar geoengineering research enterprise. *Phil. Trans. R. Soc. A* **376**, 20160459. (doi:10.1098/rsta.2016.0459)
- Caldeira K, Bala G, Cao L. 2013 The science of geoengineering. *Annu. Rev. Earth Planet. Sci.* 41, 231–256. (doi:10.1146/annurev-earth-042711-105548)
- 39. Robock A. 2014 Stratospheric aerosol geoengineering. Issues Environ. Sci. and Tech. 38, 162–185.
- 40. National Academy of Sciences. 2015 *Climate intervention: reflecting sunlight to cool Earth.* Washington DC: The National Academies Press.
- 41. Irvine PJ, Kravitz B, Lawrence MG, Muri H. 2016 An overview of the Earth system science of solar geoengineering. *WIREs Clim. Change* **7**, 815–833. (doi:10.1002/wcc.423)
- 42. Tilmes S, Sanderson BM, O'Neill B. 2016 Climate impacts of geoengineering in a delayed mitigation scenario. *Geophys. Res. Lett.* **43**, 8222–8229. (doi:10.1002/2016GL070122)
- 43. MacMartin DG, Kravitz B. 2016 Dynamic climate emulator for solar geoengineering. *Atmos. Chem. Phys.* **16**, 15789–15799. (doi:10.5194/acp-16-15789-2016)
- 44. Kravitz B, Robock A, Boucher O, Schmidt H, Taylor KE, Stenchikov G, Schulz M. 2011 The Geoengineering Model Intercomparison Project (GeoMIP). *Atmos. Sci. Lett.* **12**, 162–167. (doi:10.1002/asl.316)
- 45. Kravitz B et al. 2013 Climate model response from the Geoengineering Model Intercomparison Project (GeoMIP). J. Geophys. Res. 118, 8320–8332. (doi:10.1002/JGRD.50646)
- 46. Govindasamy B, Caldeira K. 2000 Geoengineering Earth's radiation balance to mitigate CO<sub>2</sub>induced climate change. *Geophys. Res. Lett.* **27**, 2141–2144. (doi:10.1029/1999GL006086)
- Ferraro AJ, Charlton-Perez AJ, Highwood EJ. 2015 Stratospheric dynamics and midlatitude jets under geoengineering with space mirrors and sulfate and titania aerosols. *J. Geophys. Res.* A 120, 414–429. (doi:10.1002/2014JD022734)
- Andrews T, Forster PM, Boucher O, Bellouin N, Jones A. 2010 Precipitation, radiative forcing and global temperature change. *Geophys. Res. Lett.* 37, L14701 (doi:10.1029/2010GL043991)
- Cao L, Bala G, Caldeira K. 2012 Climate response to changes in atmospheric carbon dioxide and solar irradiance on the time scale of days to weeks. *Environ. Res. Lett.* 7, 034015. (doi:10.1088/1748-9326/7/3/034015)
- 50. Bala G, Duffy PB, Taylor KE. 2008 Impact of geoengineering schemes on the global hydrological cycle. *Proc. Natl Acad. Sci. USA* **105**, 7664–7669. (doi:10.1073/pnas.0711648105)
- Tilmes S *et al.* 2013 The hydrological impact of geoengineering in the Geoengineering Model Intercomparison Project (GeoMIP). *J. Geophys. Res.* 118, 11036–11058. (doi:10.1002/jgrd. 50868)
- 52. Niemeier U, Schmidt H, Alterskjær K, Kristjánsson JE. 2013 Solar irradiance reduction via climate engineering: impact of different techniques on the energy balance and the hydrological cycle. *J. Geophys. Res.: Atmos.* **118**, 11905–11917. (doi:10.1002/2013JD020445)
- 53. Kalidindi S, Bala G, Modak A, Caldeira K. 2015 Modeling of solar radiation management: a comparison of simulations using reduced solar constant and stratospheric sulphate aerosols. *Clim. Dyn.* **44**, 2909–2925. (doi:10.1007/s00382-014-2240-3)

- 54. Crook JA, Jackson LS, Osprey SM, Forster PM. 2015 A comparison of temperature and precipitation responses to different Earth radiation management geoengineering schemes. *J. Geophys. Res. A.* **120**, 9352–9373. (doi:10.1002/2015JD023269)
- Tilmes S, Richter JH, Mills MJ, Kravitz B, MacMartin DG, Vitt F, Tribbia JJ, Lamarque JF. 2017 Sensitivity of aerosol distribution and climate response to stratospheric SO<sub>2</sub> injection locations. J. Geophys. Res. A. **122**, 12 591–12 615. (doi:10.1002/2017JD026888)
- Dai Z, Weisenstein D, Keith DW. 2018 Tailoring meridional and seasonal radiative forcing by sulfate aerosol solar geoengineering. *Geophys. Res. Lett.* 45, 1030–1039. (doi:10.1002/2017GL076472)
- 57. Kravitz B, MacMartin DG, Mills MJ, Richter JH, Tilmes S, Lamarque JF, Tribbia JJ, Vitt F. 2017 First simulations of designing stratospheric sulfate aerosol geoengineering to meet multiple simultaneous climate objectives. J. Geophys. Res. A 122, 12616–12634. (doi:10.1002/2017JD026874)
- Haywood JM, Jones A, Bellouin N, Stephenson D. 2013 Asymmetric forcing from stratospheric aerosols impacts Sahelian rainfall. *Nat. Clim. Change* 3, 660–665. (doi:10.1038/ nclimate1857)
- 59. Ban-Weiss GA, Caldeira K. 2010 Geoengineering as an optimization problem. *Environ. Res. Lett.* 5, 034009. (doi:10.1088/1748-9326/5/3/034009)
- MacMartin DG, Keith DW, Kravitz B, Caldeira K. 2013 Management of trade-offs in geoengineering through optimal choice of non-uniform radiative forcing. *Nat. Clim. Change* 3, 365–368. (doi:10.1038/nclimate1722)
- 61. Kravitz B, MacMartin DG, Wang H, Rasch PJ. 2016 Geoengineering as a design problem. *Earth Syst. Dyn.* **7**, 469–497. (doi:10.5194/esd-7-469-2016)
- 62. MacMartin DG, Kravitz B, Tilmes S, Richter JH, Mills MJ, Lamarque JF, Tribbia JJ, Vitt F. 2017 The climate response to stratospheric aerosol geoengineering can be tailored using multiple injection locations. *J. Geophys. Res. A* **122**, 12574–12590. doi:10.1002/2017JD026868.
- 63. Pitari G, Genova GD, Mancini E, Visioni D, Gandolfini I, Cionni I. 2016 Stratospheric aerosols from major volcanic eruptions: a composition-climate model study of the aerosol cloud dispersal and *e*-folding time. *Atmosphere* **7**, 75. (doi:10.3390/atmos7060075)
- 64. Aquila V, Garfinkel CI, Newman PA, Oman LD, Waugh DW. 2014 Modifications of the quasi-biennial oscillation by a geoengineering perturbation of the stratospheric aerosol layer. *Geophys. Res. Lett.* **41**, 1738–1744. (doi:10.1002/2013GL058818)
- Richter JH, Tilmes S, Mills MJ, Tribbia JJ, Kravitz B, Vitt F, Lamarque JF. 2017 Stratospheric dynamical response and ozone feedbacks in the presence of SO<sub>2</sub> injection. *J. Geophys. Res. A* 122, 12 557–12 573. (doi10.1002/2017JD026912)
- 66. Tilmes S, Müller R, Salawitch R. 2008 The sensitivity of polar ozone depletion to proposed geoengineering schemes. *Science* **320**, 1201–1204. (doi:10.1126/science.1153966)
- Pitari G *et al.* 2014 Stratospheric ozone response to sulfate geoengineering: results from the Geoengineering Model Intercomparison Project (GeoMIP). *J. Geophys. Res. A* 119, 2629–2653. (doi:10.1002/2013JD020566)
- Solomon S, Rosenlof KH, Portmann RW, Daniel JS, Davis SM, Sanford TJ, Plattner GK. 2010 Contributions of stratospheric water vapor to decadal changes in the rate of global warming. *Science* 327, 1219–1223.
- 69. Aquila V, Oman LD, Stolarski RS, Douglass AR, Newman PA. 2013 The response of ozone and nitrogen dioxide to the eruption of Mount Pinatubo at southern and norther midlatitudes. *J. Atmos. Sci.* **70**, 894–900. (doi:10.1175/JAS-D-12-0143.1)
- Xia L, Nowack PJ, Tilmes S, Robock A. 2017 Impacts of stratospheric sulfate geoengineering on tropospheric ozone. *Atmos. Chem. Phys.* 17, 11 913–11 928. (doi:10.5194/acp-17-11913-2017)
- Dykema JA, Keith DW, Keutsch FN. 2016 Improved aerosol radiative properties as a foundation for solar geoengineering risk assessment. *Geophys. Res. Lett.* 43, 7758–7766. (doi:10.1002/2016GL069258)
- 72. Keith DW, Weisenstein KK, Dykema JA, Keutsch FN. 2016 Stratospheric solar geoengineering without ozone loss? *Proc. Natl Acad. Sci. USA* **113**, 14910–14914. (doi:10.1073/pnas.1615572113)
- 73. Stenke A, Schraner M, Rozanov E, Egorova T, Luo B, Peter T. 2013 The SOCOL version 3.0 chemistry-climate model: description, evaluation, and implications from an advanced transport algorithm. *Geosci. Model Dev.* **6**, 1407–1427. (doi:10.5194/gmd-6-1407-2013)

- 74. Mills M *et al.* 2017 Radiative and chemical response to interactive stratospheric aerosols in fully coupled CESM1(WACCM). *J. Geophys. Res. A.* **122**, 13061–13078. (doi:10.1002/ 2017JD027006)
- 75. Keith DW, Duren R, MacMartin DG. 2014 Field experiments on solar geoengineering: report of a workshop exploring a representative research portfolio. *Phil. Trans. R. Soc. A* **372**, 20140175 (doi:10.1098/rsta.2014.0175)
- 76. Dykema JA, Keith DW, Anderson JG, Weisenstein D. 2014 Stratospheric-controlled perturbation experiment: a small-scale experiment to improve understanding of the risks of solar geoengineering. *Phil. Trans. R. Soc. A* **372**, 20140059 (doi:10.1098/rsta.2014.0059)
- 77. Robock A, MacMartin DG, Duren R, Christensen MW. 2013 Studying geoengineering with natural and anthropogenic analogs. *Clim. Change* **121**, 445–458. (doi:10.1007/s10584-013-0777-5)
- 78. Wood R, Ackerman TP. 2013 Defining success and limits of field experiments to test geoengineering by marine cloud brightening. *Clim. Change* **121**, 459–472. (doi:10.1007/s10584-013-0932-z)
- 79. Wood R, Ackerman T, Rasch P, Wanser K. 2017 Could geoengineering research help answer one of the biggest questions in climate science? *Earth's Future* **4**, 659–663. (doi:10.1002/2017EF000601)
- 80. Malavelle FF *et al.* 2017 Strong constraints on aerosol–cloud interactions from volcanic eruptions. *Nature* **546**, 485–491. (doi:10.1038/nature22974)
- MacMynowski DG, Keith DW, Caldeira K, Shin HJ. 2011 Can we test geoengineering? *Energy Environ. Sci.* 4, 5044–5052. (doi:10.1039/C1EE01256H)
- MacMartin DG, Kravitz B, Rasch PJ. 2015 On solar geoengineering and climate uncertainty. *Geophys. Res. Lett.* 42, 7156–7161. (doi:10.1002/2015GL065391)
- MacMartin DG, Kravitz B, Keith DW, Jarvis AJ. 2014 Dynamics of the coupled humanclimate system resulting from closed-loop control of solar geoengineering. *Clim. Dyn.* 43, 243–258. (doi:10.1007/s00382-013-1822-9)
- 84. Burns ET, Flegal JA, Keith DW, Mahajan A, Tingley D, Wagner G. 2016 What do people think when they think about solar geoengineering? a review of empirical social science literature, and prospects for future research. *Earth's Future* **4**, 536–542. (doi:10.1002/2016EF000461)
- 85. Meinshausen M *et al.* 2011 The RCP greenhouse gas concentrations and their extension from 1765 to 2300. *Clim. Change* **109**, 213–241. (doi:10.1007/s10584-011-0156-z)
- 86. Keith DW, Wagner G, Zabel CL. 2017 Solar geoengineering reduces atmospheric carbon burden. *Nat. Clim. Change* 7, 617–619. (doi:10.1038/nclimate3376)
- 87. Thomson AM *et al.* 2011 Rcp4.5: a pathway for stabilization of radiative forcing by 2100. *Clim. change* **109**, 77. (doi:10.1007/s10584-011-0151-4)
- 88. Clarke L et al. 2014 Assessing transformation pathways. In Climate change 2014: Mitigation of climate change. Contribution of Working Group III to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change (eds O Edenhofer et al.), pp. 417–510. Cambridge, UK: Cambridge University Press.
- 89. Cao L, Caldeira K. 2010 Atmospheric carbon dioxide removal: long-term consequences and commitment. *Environ. Res. Lett.* 5, 024011. (doi:10.1088/1748-9326/5/2/024011)
- Azar C, Johansson DJA, Mattson N. 2013 Meeting global temperature targets-the role of bioenergy with carbon capture and storage. *Environ. Res. Lett.* 8, 034004. (doi:10.1088/ 1748-9326/8/3/034004)
- Kato E, Yamagata Y. 2014 BECCS capability of dedicated bioenergy crops under a future land-use scenario targeting net negative carbon emissions. *Earth's Future* 2, 421–439. (doi:10.1002/2014EF000249)
- 92. Smith P *et al.* 2016 Biophysical and economic limits to negative CO<sub>2</sub> emissions. *Nat. Clim. Change* **6**, 42–50. (doi:10.1038/nclimate2870)
- Boysen LR, Lucht W, Gerten D, Heck V, Lenton TM, Schellnhuber HJ. 2017 The limits to global-warming mitigation by terrestrial carbon removal. *Earth's Future* 5, 463–474. (doi:10.1002/2016EF000469)
- Kwiatkowski L, Cox P, Halloran PR, Mumby PJ, Wiltshire AJ. 2015 Coral bleaching under unconventional scenarios of climate warming and ocean acidification. *Nat. Clim. Change* 5, 777–781. (doi:10.1038/nclimate2655)
- 95. Pongratz J, Lobell DB, Cao L, Caldeira K. 2012 Crop yields in a geoengineered climate. *Nat. Clim. Change* **2**, 101–105. (doi:10.1038/nclimate1373)

- Pierce JR, Weisenstein DK, Heckendorn P, Peter T, Keith DW. 2010 Efficient formation of stratospheric aerosol for climate engineering by emission of condensible vapor from aircraft. *Geophys. Res. Lett.* 37, L18805. (doi:10.1029/2010GL043975)
- 97. Kravitz B *et al.* 2014 A multi-model assessment of regional climate disparities caused by solar geoengineering. *Environ. Res. Lett.* **9**, 074013. (doi:10.1088/1748-9326/9/7/074013)
- McClellan J, Keith DW, Apt J. 2012 Cost analysis of stratospheric albedo modification delivery systems. *Environ. Res. Lett.* 7, 034019. (doi:10.1088/1748-9326/7/3/034019)
- 99. MacMynowski DG, Shin HJ, Caldeira K. 2011 The frequency response of temperature and precipitation in a climate model. *Geophys. Res. Lett.* **38**, L16711. (doi:10.1029/2011GL048623)
- 100. Caldeira K, Myhrvold N. 2013 Projections of the pace of warming following an abrupt increase in atmospheric carbon dioxide concentration. *Environ. Res. Lett.* 8 034039. (doi:10.1088/1748-9326/8/3/034039)
- 101. MacMartin DG, Caldeira K, Keith DW. 2014 Solar geoengineering to limit rates of change. *Phil. Trans. R. Soc. A* **372**, 20140134. (doi:10.1098/rsta.2014.0134)
- 102. Cao L, Bala G, Zheng M, Caldeira K. 2015 Fast and slow climate responses to CO<sub>2</sub> and solar forcing: a linear multivariate regression model characterizing transient climate change. *J. Geophys. Res. Atmos.* **120**, 12 037–12 053. (doi:10.1002/2015JD023901)
- Ricke KL, Orr JC, Schneider K, Caldeira K. 2013 Risks to coral reefs from ocean carbonate chemistry changes in recent earth system model projections. *Environ. Res. Lett.* 8 034003. (doi:10.1088/1748-9326/8/3/034003)